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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Harvey Ellis Cline et al.

Group Art Unit:

Serial No.: 09/683,321

Examiner:

Filed: December 13, 2001

For METHOD AND SYSTEM FOR SEGMENTATION  
OF MEDICAL IMAGES

## INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

\_\_\_\_\_  
Date of Deposit\_\_\_\_\_  
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Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

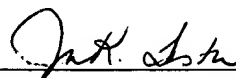
An original and two (2) copies of this document are enclosed.

Respectfully submitted,

12/14/01

Date

General Electric Company  
CRD Patent Docket Rm 4A59  
P.O. Box 8, Bldg. K-1 - Salamone  
Schenectady, New York 12301  
Customer Number: 006147



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FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-29,447

SERIAL NO.

09/683,321

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Harvey Ellis Cline et al.

Filing Date

December 13, 2001

Group

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	7	1	0	8	7	6	12/01/87	Cline et al.	364	414	06/05/85
	AB	4	7	5	1	6	4	3	06/14/88	Lorensen et al.	364	414	08/04/86
	AC	4	9	0	5	1	4	8	02/27/90	Crawford	364	413.1	08/04/88
	AD	5	5	5	3	2	0	7	09/03/96	Sekiguchi et al.	395	124	05/24/94
	AE	6	2	4	6	7	8	4	06/12/01	Summers et al.	382	128	08/18/98
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	No
	AL	W	O	0	6	5	9	8	5	05/01/00	International			
	AM													
	AN													
	AO													
	AP													

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	Edward A. Ashton, MJ Berg, KJ Parker, J Weisberg, C W Chen, L. Ketonen, "Segmentation and Feature Extraction Techniques, with Applications To MRI Head Studies", MRM 33:670-677 (1995).
	AS	Edward A. Ashton, MJ Berg, KJ Parker, J Weisberg, CW Cehn and L Ketonen, "Segmentation and Features Extraction Techniques, With Applications To Biomedical Images", 0-8186-6960-0/94, IEEE, pp. 726-730 (1994)
	AT	Michael W. Vannier and JW Haller, "Biomedical Image Segmentation", 0-8186-8821- IEEE, pp. 20-24, 1998.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Title Amended 3/83)

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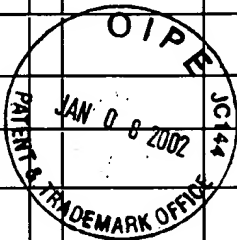
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	BE					
	BF					
	BG					
	BH					
	BI					
	BJ					
	BK					



FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	BL						
	BM						
	BN						
	BO						
	BP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

BR	Edward A. Ashton, KJ Parker, MJ Berg, CW Chen, "A Novel Volumetric Feature Extraction Technique, With Applications To MR Images", 0-8186-7310-9/95, IEEE, pp. 564-567, 1995.
BS	
BT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.